

**IDENTIFICATION OF CONTRACTORS & RELATED PERSONNEL**

**2017**

**Borough of Fox Chapel**

Identify the Municipal Pension System(s) for which you are providing information:

Indicate all that apply with an "X":       Non-Uniform Plan       Police Plan  
 Fire Plan

<p>Q.1</p>	<p>Please provide the names and titles of <u>all individuals</u> providing professional services to the <b>Requesting Municipality's</b> pension plan(s) identified above. Also include the names and titles of <u>any advisors and subcontractors</u> of the Contractor, identifying them as such. After each name provide a description of the responsibilities of that person with regard to the professional services being provided to each designated pension plan.</p> <p>Lori Kinzler, Senior Fiduciary Advisor:</p> <ul style="list-style-type: none"> <li>• Provides daily contact with client regarding administration of account</li> <li>• Facilitates pension disbursements</li> <li>• Coordinates in-flows and out-flows of cash</li> <li>• Provides audit support</li> </ul> <p>Joyce Frydryck, Fiduciary Manager:</p> <ul style="list-style-type: none"> <li>• Leadership oversight of Account Administrator including problem resolution, product development, and project management.</li> </ul> <p>Steve Shapiro, Senior Client Advisor:</p> <ul style="list-style-type: none"> <li>• Meets with clients to ensure their needs are being met</li> <li>• Introduces new products and services that will add value for client</li> </ul> <p>The following individuals provide managerial oversight of the individuals listed above:</p> <ul style="list-style-type: none"> <li>• Melissa Casazza - PNC Institutional Asset Management, Fiduciary Market Director</li> <li>• Michael Yoon - PNC Institutional Asset Management, Institutional Client Advisor and Team Leader</li> <li>• Sylvia Diez - PNC Institutional Asset Management, Regional Managing Director</li> </ul>
<p>Q.2</p>	<p>Please list the name and title of any <i>Affiliated Entity</i> and their <i>Executive-level Employee(s)</i> that require disclosure; after each name, include a brief description of their duties.</p> <p>PNC Capital Advisors. The individual below has managerial oversight over the services provided by PNC Bank, N.A. for the Plan:</p> <ul style="list-style-type: none"> <li>• Mark McGlone, President and Chief Investment Officer of PNC Capital Advisors</li> </ul>
<p>Q.3</p>	<p>According to our records, no individuals named in Item 1 or Item 2 above are current or former officials or employees of the Borough of Fox Chapel.</p>
<p>Q.4</p>	<p>According to our records, no individuals named in Item 1 or Item 2 above are current or former registered State or Federal lobbyists.</p>

Q.5	<p>PNC has not employed or paid compensation to a third party intermediary, agent or lobbyist to directly or indirectly communicate with any official or employee of the Borough of Fox Chapel's Pension Plan or in connection with any transaction or investment involving PNC and the municipal pension system.</p> <p>PNC does engage legal counsel from time to time to represent it in discussions with legal counsel to the municipal pension system.</p>
Q.6	<p>According to our records, since December 17, 2009 no PNC officer, director or employee of PNC has solicited a contribution to any municipal official or candidate for municipal office in the Borough of Fox Chapel or to the political party or political action committee of an official or candidate.</p>
Q.7	<p>According to our records, since December 17, 2009, neither PNC nor any Affiliated Entity has made a contribution to a municipal official or candidate for municipal office in the Borough of Fox Chapel.</p>
Q.8	<p>Neither PNC nor any Affiliated Entity has any direct financial, commercial or business relationship with any official identified on the List of Municipal Officials, of the Borough of Fox Chapel.</p> <p>PNC may potentially have customary banking relationships (checking and savings accounts, investment accounts, loans, trust accounts, etc.) with officials identified on the "List of Municipal Officials" of the Borough of Fox Chapel.</p>
Q.9	<p>According to our records, neither PNC nor any Affiliated Entity has given any gifts having more than a nominal value to any official listed on the "List of Municipal Officials" of the Borou</p>
Q.10	<p>In compliance with the provisions of Act 44, requiring the reporting of certain political contributions made within the last five years, PNC is providing the following report:</p> <p><i>Refer to the attached report entitled PA Political Contributions 2012-2016. This report reflects all contributions of at least \$500 (singly or in the aggregate) made from 2012-2016 by an officer, director, Executive-Level Employee or owner of at least 5% of the firm or an Affiliate Entity to any candidates for public office or the holders of such offices in the Commonwealth and to any political committees of such candidates or office holders. Note that PNC officer is defined as designated under Regulation O of the Board of Governors of the Federal Reserve System (12 C.F.R. 215).</i></p>
Q.11	<p>According to our records, we are not aware of any apparent, potential or actual conflict of interest with respect to any officer, director or employee of PNC and officials or employees of the Borough of Fox Chapel.</p>
Q.12	<p>No additional information to disclose.</p>

Please provide the name(s) and position(s) of the person(s) participating in the completion of this Disclosure.

One of the individuals identified by the *Contractor in Item #1* above must participate in completing this

Disclosure and must sign the below verification attesting to the participation of those individuals named below.

Name: Bethany Ford

Name:

Position: Compliance Program Advisor

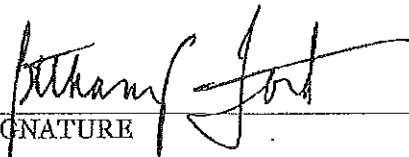
Position:

Name:

Name:

Position:

Position:

  
\_\_\_\_\_  
SIGNATURE

Compliance Program Advisor  
\_\_\_\_\_  
TITLE

11/14/17  
\_\_\_\_\_  
DATE

VERIFICATION

I, Joyce Frydryck, hereby state that I am a Fiduciary Manager for  
(Name) (Position)  
PNC Institutional Asset Management and I am authorized to make this verification.  
(Contractor)

I hereby verify that the facts set forth in the foregoing Act 44 Disclosure Form for Entities Providing Professional Services to the Borough of Fox Chapel's Pension System are true and correct to the best of my knowledge, information and belief. I also understand that knowingly making material misstatements or omissions in this form could subject the responding Contractor to the penalties in Section 70S-A(e) of Act 44.

I understand that false statements herein are made subject to the penalties of 18 P.A.C.S. § 4904 relating to unsworn falsification to authorities.

Joyce Frydryck  
Signature

11-14-17

Date

PA Political Contributions (2012 - 2016) - Officer/Director/Executive Employee  
 Note: PNC Officer is defined as designated under Regulation O of the Board of Governors of the Federal Reserve System. (12.C.F.R.215).

Contributor Name	Contributor Address	Date of Contribution	Name of Recipient	Recipient's Title	Amount of Contribution
Bill S Demchak	5564 Alysheva Avenue Pittsburgh, PA	11/10/2015	Bill Peduto	Candidate (or Candidate's Committee) for State or Local Office	\$700
Gregory Jordan	601 West Drive Sewickley, PA	11/10/2015	Bill Peduto	Candidate (or Candidate's Committee) for State or Local Office	\$300
Thomas Usher	26124 Fawn Wood Ct Bonita Springs, FL	7/2/2015	Citizens Alliance of PA-PAC	Other	\$7,500
Donald Shepard	18 Starlight Farm Drive Pleasanton, MD	4/8/2014	CSX Corp. Good Government Fund PAC	Political Action Committee (PAC) Other	\$5,000
Donald Shepard	18 Starlight Farm Drive Pleasanton, MD	2/11/2015	CSX Corp. Good Government Fund PAC	Political Action Committee (PAC) Other	\$5,000
James E Rohr	615 Denserville Rd. Pittsburgh, PA	10/9/2012	Frank Dermody	Candidate (or Candidate's Committee) for State or Local Office	\$1,000
Gregory Jordan	601 West Drive Sewickley, PA	4/7/2015	Hugh McLaugh	Candidate (or Candidate's Committee) for State or Local Office	\$1,000
Thomas Usher	26124 Fawn Wood Ct Bonita Springs, FL	4/23/2013	Jack Wegner	Candidate (or Candidate's Committee) for State or Local Office	\$5,000
Bruce Lindsay	1820 Rhenovense Square Philadelphia, PA	5/7/2013	John Callahan	Candidate (or Candidate's Committee) for State or Local Office	\$500
Thomas Usher	26124 Fawn Wood Ct. Bonita Springs, FL	7/2/2015	Keystone State Right to Work Committee	Political Action Committee (PAC) Other	\$5,000
Jane Peppers	128 Springton Lake Road Media, PA	2/21/2014	Leanne Krueger-Bransky	Candidate (or Candidate's Committee) for State or Local Office	\$250
Jane Peppers	128 Springton Lake Road Media, PA	4/17/2014	Leanne Krueger-Bransky	Candidate (or Candidate's Committee) for State or Local Office	\$250
Jane Peppers	128 Springton Lake Road Media, PA	8/4/2014	Leanne Krueger-Bransky	Candidate (or Candidate's Committee) for State or Local Office	\$250
Jane Peppers	128 Springton Lake Road Media, PA	6/27/2015	Leanne Krueger-Bransky	Candidate (or Candidate's Committee) for State or Local Office	\$250
Jane Peppers	128 Springton Lake Road Media, PA	4/19/2016	Leanne Krueger-Bransky	Candidate (or Candidate's Committee) for State or Local Office	\$500
Thomas Usher	26124 Fawn Wood Ct Bonita Springs, FL	10/4/2015	LISE PAC	Political Action Committee (PAC) Other	\$500
James E Rohr	613 Denserville Rd. Pittsburgh, PA	10/2/2012	Luke Ravenstahl	Candidate (or Candidate's Committee) for State or Local Office	\$2,000
Thomas Usher	26124 Fawn Wood Ct Bonita Springs, FL	6/28/2012	Marathon Petroleum Corporation Employees PAC	Political Action Committee (PAC) Other	\$5,000
Thomas Usher	26124 Fawn Wood Ct Bonita Springs, FL	6/18/2014	Marathon Petroleum Corporation Employees PAC	Political Action Committee (PAC) Other	\$5,000
Thomas Usher	26124 Fawn Wood Ct Bonita Springs, FL	7/21/2015	Mike George	Candidate (or Candidate's Committee) for State or Local Office	\$1,000
Thomas Usher	26124 Fawn Wood Ct Bonita Springs, FL	4/30/2014	Tom Corbett	Candidate (or Candidate's Committee) for State or Local Office	\$1,000
Charles Bunch	1414 Beechwood Blvd Pittsburgh, PA	9/4/2014	Tom Corbett	Candidate (or Candidate's Committee) for State or Local Office	\$2,500